

# Some results on functionally convex sets in real Banach spaces

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#### Abstract

We use of two notions functionally convex (briefly, F–convex) and functionally closed (briefly, F–closed) in functional analysis and obtain more results. We show that if  $\{A_{\alpha}\}_{\alpha \in I}$  is a family F– convex subsets with non empty intersection of a Banach space X, then  $\bigcup_{\alpha \in I} A_{\alpha}$  is F–convex. Moreover, we introduce new definition of notion F–convexiy.

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#### 1. Introduction

In [5], M. Eshahgi, H. R. Reisi and A. R. Moazzen introduced two new notions in functional analysis. By defining functionally convex (briefly, F–convex) and functionally closed (briefly, F–

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closed) sets, they improved some basic theorems in functional analysis. Among other things, the Krein-Milman theorem has been generalized on finite dimensional Banach spaces. Hence, they have proved that, the set of extreme points of every bounded, *F*-convex and *F*-closed subset of a finite dimensional space is nonempty. Additionally, they partially proved the famous Chebyshev open problem (which asks whether or not every Chebyshev set in a Hilbert space is convex?). Hence, they have shown that, if *A* is a Chebyshev subset of a Hilbert space and the metric projection  $P_A$  is continuous, then *A* is *F*-convex

From now on, we suppose that all normed spaces and Banach spaces are real.

**Definition 1.1.** [5] In a normed space *X*, we say that  $K \subseteq X$  is functionally convex (briefly, F–convex) if for every bounded linear transformation  $T \in B(X, \mathbb{R})$ , the subset T(K) of  $\mathbb{R}$  is convex.

**Proposition 1.2.** [5] If T is a bounded linear mapping from a normed space X into a normed space Y, and K is F-convex in X, then T(K) is F-convex in Y.

**Corollary 1.3.** [5] Let A, B be two F-convex subsets of a normed space X and  $\lambda$  be a real number, then

$$A + B = \{a + b : a \in A, b \in B\}, and \quad \lambda A = \{\lambda . a : a \in A\}$$

are F-convex.

**Proposition 1.4.** [5] Let A and B be F-convex subsets of a linear space X, which have nonempty intersection. Then  $A \cup B$  is F-convex.

**Definition 1.5.** [5] Let *X* be a normed space and let  $A \subseteq X$ . *A* is functionally closed (briefly, F–closed), if f(A) is closed for all  $f \in X^*$ .

Note that every compact set is F-closed. Also, every closed subset of real numbers  $\mathbb{R}$  is F-closed. In  $X = \mathbb{R}^2$ , the set  $A = \{(x, y) : x, y \ge 0\}$  is (non-compact) F-closed whereas, the set  $A = \mathbb{Z} \times \mathbb{Z}$  is closed but it is not F-closed (by taking  $f(x, y) = x + \sqrt{2}y$ , the set f(A) is not closed in  $\mathbb{R}$ ). By taking  $A = \{(x, y) : 1 \le x^2 + y^2 \le 4\}$  a nonconvex F-closed and F- convex set is obtained. Also, the set  $B = \{(x, y) : x \in [0, \frac{\pi}{2}), y \ge \tan(x)\}$  is a closed convex set which is not F-closed. On the other hand,  $A = \{(x, y) : 1 < x^2 + y^2 \le 4\}$  is a non-compact and F-closed set. The two last examples show that weakly closed( weakly compact) and F-closed sets are different.

*Remark* 1.6. Note that we can not reduce definition of F–convexity to a basis of  $X^*$ , in the sence that a set in X is F–convex whenever its image under elements of a basis is convex. For instance, by taking the Euclidean space  $\mathbb{R}^2$  and the set

$$A = \{(0, \alpha) : \alpha \in \mathbb{R} - \mathbb{Q} \cap [-\sqrt{2}, 1]\} \cup \{(\beta, 1) : \beta \in \mathbb{R} - \mathbb{Q} \cap [0, \sqrt{2}]\} \cup \{(r, -\sqrt{2}) : r \in \mathbb{Q} \cap [0, \sqrt{2}]\} \cup \{(\sqrt{2}, s) : s \in \mathbb{Q} \cap \{[-\sqrt{2}, 1]\} \cup \{(0, 1), (0, \sqrt{2}), (\sqrt{2}, -\sqrt{2}), (\sqrt{2}, 1)\}$$

 $p_x(x, y) = x$  and  $p_y(x, y) = y$ , projections on axis, is a base for  $X = \mathbb{R}^2$  and  $P_x(A) = [0, 1]$  also,  $p_y(A) = [-\sqrt{2}, 1]$  but f(x, y) = x + y is an element of  $X^*$  and f(A) is not convex.

In [5], we prove the following theorem, which help us to find a big class of F-convex sets.

**Theorem 1.7.** Every arcwise connected subset of a normed space X is F-convex.

*Remark* 1.8. The converse of the above theorem is not valid. Hence, by taking  $S = \{(x, \sin(\frac{1}{x}) : 0 < x \le 1\}$ , the set  $\overline{S}$  which is called the sine's curve of topologist is connected and so for any linear functional  $f \in (\mathbb{R} \times \mathbb{R})^*$ , the set  $f(\overline{S})$  is an interval. Thus,  $\overline{S}$  is an F–convex set which is not arcwise connected.

#### 2. Main Results

In this section, we show, how construct new subset F-convex one of given ones.

**Proposition 2.1.** Let A, B be subsets of Banach space X. If A is F-convex and  $A \subset B \subset \overline{A}$  then, B is F-convex.

*Proof.* For every  $f \in X^*$ , we have  $f(A) \subseteq f(\overline{A}) \subseteq \overline{f(A)}$ . Hence, by assumption,  $f(\overline{A})$  is an interval. This completes the proof.

*Remark* 2.2. In contrary the case of convex sets, interior of an F–convex set, necessarily is not F–convex. For instance, take  $X = \mathbb{R} \times \mathbb{R}$  and let  $B = \{(x, y) : x^2 + y^2 \le 1\}$ . Then if A is all elements surrounded by B and  $B + \frac{1}{2}$  is F–convex, but the interior of A is not F–convex. Since, by taking f as projection on x-axis we have  $f(A^\circ) = (-\frac{1}{2}, \frac{1}{2}) \cup (\frac{1}{2}, \frac{3}{2})$ , which is not convex.

**Theorem 2.3.** Let  $\{A_{\alpha}\}_{\alpha \in I}$  be collection of *F*-convex subsets in Banach space *X*. If  $\bigcap_{\alpha \in I} A_{\alpha} \neq \phi$  then,  $\bigcup_{\alpha \in I} A_{\alpha}$  is *F*-convex.

*Proof.* For each  $f \in X^*$  and  $\alpha \in I$ , we know,  $f(A_\alpha)$  is an interval and  $\bigcap_{\alpha \in I} f(A_\alpha) \neq \phi$ . Thus,  $f(\bigcup_{\alpha \in I} A_\alpha) = \bigcup_{\alpha \in I} f(A_\alpha)$  is convex.

We know that, if  $\{A_{\alpha}\}_{\alpha \in I}$  be a collection of connected subsets in *X*, *A* is connected and  $A \cap A_{\alpha} \neq \phi$  for all  $\alpha \in I$ , then  $A \cup (\bigcup_{\alpha \in I} A_{\alpha})$  is connected. Now, we have the following theorem;

**Theorem 2.4.** Let  $\{A_{\alpha}\}_{\alpha \in I}$  be a collection of *F*-convex subsets in Banach space *X*. If *A* is *F*-convex and  $A \cap A_{\alpha} \neq \phi$  for evrey  $\alpha \in I$ , then  $A \cup (\bigcup_{\alpha \in I} A_{\alpha})$  is *F*-convex.

*Proof.* For every  $f \in X^*$  and all  $\alpha \in I$ ,  $f(A_\alpha)$  and f(A) are intervals such that  $f(A) \cap f(A_\alpha) \neq \phi$ . Therefore,  $f(A \cup (\bigcup_{\alpha \in I} A_\alpha)) = \bigcup_{\alpha \in I} f(A_\alpha) \cup f(A)$  is interval for every  $f \in X^*$ . So,  $A \cup (\bigcup_{\alpha \in I} A_\alpha)$  is F-convex.

We know that, if  $\{A_n\}_{n \in \mathbb{N}}$  be a collection of connected subsets in X such that  $A_n \cap A_{n+1} \neq \phi$  for all  $n \in \mathbb{N}$ , then  $\bigcup_{n \in \mathbb{N}} A_n$  is connected. Now, we have the following theorem;

**Theorem 2.5.** Let  $\{A_n\}_{n \in \mathbb{N}}$  be a collection of *F*-convex subsets in Banach space *X*. If  $A_n \cap A_{n+1} \neq \phi$  for evrey  $n \in \mathbb{N}$ , then  $\bigcup_{n \in \mathbb{N}} A_n$  is *F*-convex.

*Proof.* For every  $f \in X^*$  and all  $n \in \mathbb{N}$ ,  $f(A_n)$  is interval and  $f(A_n) \cap f(A_{n+1}) \neq \phi$ . Therefore,  $f(\bigcup_{n \in \mathbb{N}} A_n) = \bigcup_{n \in \mathbb{N}} f(A_n)$  is interval for every  $f \in X^*$ . So,  $\bigcup_{n \in \mathbb{N}} A_n$  is F–convex.

Let *A* be a subset of linear space *X*. We define an equivalence relation on *A* as:  $x \sim y$  if and only if both lie in a F–convex subset of *A*. The relation ~ actually is an equivalence relation. For transitivity, note that if  $x \sim y$  and  $y \sim z$  then there are weakly convex subsets *A* and *B* such that  $x, y \in A$  and  $y, z \in B$ . Proposition 1.4 asserts that  $A \cup B$  is F–convex subset of *X* and so  $x \sim z$ .

**Theorem 2.6.** Let  $(X_i, ||.||_i)$  be norm linear spaces, then  $A_i \subset X_i$  are *F*-convex if and only if,  $\prod_{i=1}^n A_i$  is *F*-convex in  $\prod_{i=1}^n X_i$  equepted by the norm

$$||(x_1, x_2, \cdots, x_n)|| = \left\{ \sum_{i=1}^n ||x_i||_i^2 \right\}^{\frac{1}{2}}.$$

*Proof.* We Know that

$$(\prod_{i=1}^n X_i)^* = \bigoplus_{i=1}^n X_i^*.$$

So, for every  $g \in (\prod_{i=1}^{n} X_i)^*$  there are uniqe  $f_i \in X_i^*$ ,  $i = 1, 2, \dots, n$  such that,  $g = \sum_{i=1}^{n} f_i$ . Now we have

$$g(\prod_{i=1}^n A_i) = \sum_{i=1}^n f_i(A_i).$$

Since, every  $A_i$  is F–convex so,  $f_i(A_i)$  and their sum is an interval. Conversely, for every  $f_i \in X_i^*$ , taking  $g = 0 + 0 + \dots + f_i + \dots + 0$ , we have  $f(A_i) = g(\prod_{i=1}^n A_i)$  so,  $A_i$  is F–convex.

**Theorem 2.7.** Let Y be a subspace of the norm linear space X. If  $A \subset Y$  is F-convex then, A is F-convex in X.

*Proof.* Let *Y* be a subspace of *X*. There exists subspace  $Y^{\perp}$  of *X* such that  $X = Y \oplus Y^{\perp}$ . Thus, for every  $f \in X^*$  we have,  $f|_Y \in Y^*$ . Now, if *A* is F–convex in *Y*, Therefore,  $f(A) = f|_Y(A) + f(Y^{\perp})$ . By assumption,  $f|_Y(A)$  is F–convex also, since  $Y^{\perp}$  is a subspace, so  $Y^{\perp}$  is F–convex in *X*. Thus, By using 1.3 f(A) is F–convex in *X*.

**Definition 2.8.** Let *A* be a subset of linear space *X*. Let  $\frac{A}{a} = \{A_{\alpha}\}_{\alpha \in I}$  be the set of all equivalence classes. For each  $\alpha \in I, A_{\alpha}$  is called F–convex component of *A*.

**Theorem 2.9.** Let A be a subset of linear space X. The F-convex components of A are disjoint F-convex subsets of A whose their union is A, such that any non empty F-convex subset of A contains only one of them.

*Proof.* Being equivalence classes, the F-convex component of *A* are disjoint and their union is *A*. Each F-convex subset of *A* contains only one of them. For if, *A* intersects the components  $A_1, A_2$  of *A* say, in points  $x_1, x_2$  respectively, then  $x_1 \sim x_2$ . this means  $A_1 = A_2$ . To show the F-convex component *B* is F-convex, choose a point *x* of *B*. For each  $y \in B$ , we know that  $x_1 \sim x_2$ , so there is a F-convex subset  $A_y$  containing *x*, *y*. By the result just proved  $A_y \subset A$ . thus,  $B = \bigcup_{y \in A} A_y$ . Since subsets  $A_y$  are F-convex and the point *x* is in their intersection, by 2.3 *B* is F-convex. *Remark* 2.10. Let *A* be a subset of linear space *X*. *A* is F–convex if and only if it has one F–convex component.

In the following theorem, for a subset A of a Banach space X, a necessary and sufficient condition for F–convexity is proved.

*Theorem* 2.11*. Let X be a Banach space,*  $A \subseteq X$  *is F*–*convex if and only if* 

$$co(A) \subseteq \bigcap_{f \in X^*} A + Ker(f).$$

*Proof.* The set  $A \subseteq X$  is F-convex iff for all  $f \in X^*$ , the element  $\sum_{i=1}^n \lambda_i f(a_i)$  belongs to f(A) which,  $\lambda_i \ge 0$ ,  $a_i \in A$  and  $\sum_{i=1}^n \lambda_i = 1$ . This is equivalent that for all  $f \in X^*$ , there is  $a \in A$  such that  $a - \sum_{i=1}^n \lambda_i a_i \in Ker(f)$ .

*Remark* 2.12. Note that in special case  $X = \mathbb{R}$ , since every nonzero functional is one to one so we have  $\bigcap_{f \in X^*} A + Ker(f) = A$ . Thus  $A \subseteq \mathbb{R}$  is F–convex iff  $co(A) \subseteq A$ . Also, we have  $A \subseteq co(A)$ . Then we obtain  $A \subseteq \mathbb{R}$  is F–convex iff A is convex.

Let X be a vector space. A hyperplane in X (through  $x_0 \in X$ ) is a set of the form  $H = x_0 + Ker(f) \subseteq X$ , where f is a non-zero linear functional on X. Equivalently,  $H = f^{-1}(\gamma)$ , where  $\gamma = f(x_0)$ . So, we have

$$\bigcap_{f \in X^*} A + Ker(f) = \bigcap_{f \in X^*} \bigcup_{a \in A} a + Ker(f) = \bigcap_{f \in X^*} f^{-1}(f(A)).$$

Hence,  $A \subseteq X$  is F–convex if and only if

$$co(A) \subseteq \bigcap_{f \in X^*} f^{-1}(f(A)).$$

Proposition 2.13. Let A be a subset of Banach space X. The set  $U = \bigcap_{B \in \Gamma} \bigcap_{f \in X^*} f^{-1}(f(B))$  is *F*-convex, where  $\Gamma = \{B : A \subseteq B, B \text{ is } F\text{-convex}\}.$ 

*Proof.* By discussion ago, we have  $co(B) \subseteq \bigcap_{f \in X^*} f^{-1}(f(B))$ . Intersecting on all  $B \in \Gamma$ , implies that

$$co(A) = \bigcap_{B \in \Gamma} co(B) \subseteq U \subseteq \bigcap_{f \in X^*} f^{-1}(f(co(A)))$$

On the other hand, for every  $g \in X^*$ ,

$$g(co(A)) \subseteq g(U) \subseteq g(g^{-1}(g(co(A)))) \subseteq g(co(A))$$

Hence, for every  $g \in X^*$ , g(U) = g(co(A)). So U is *F*-convex.

Theorem 2.14. [3] If  $K_1$  and  $K_2$  are disjoint closed convex subsets of a locally convex linear topological space X, and if  $K_1$  is compact, then there exist constants c and  $\epsilon > 0$ , and a continuous linear functional f on X, such that

$$f(K_2) \le c - \epsilon < c \le f(K_1).$$

Lemma 2.15. [5] If A is a subset of a Banach space X, then

$$\bigcap_{f \in X^*} f^{-1}(f(A)) \subseteq \overline{co}(A)$$

Corollary 2.16. [5] Let A be an F-closed subset of a Banach space X. Then A is F-convex if and only if

$$\overline{co}(A) = \bigcap_{f \in X^*} f^{-1}(f(A)).$$

Corollary 2.17. A compact subset A in a Banach space X is convex if and only if A is F-convex and  $X^*$  separates A and every element of X - A.

*Proof.* If *A* is a compact convex subset of *X*, then by Theorem 2.14, the assertion holds. Conversely, assume that *A* is a compact F– convex subset of *X*. Hence,  $\overline{co}(A) = \bigcap_{f \in X^*} f^{-1}(f(A))$ . On the other hand, there is  $f \in X^*$  such that for every  $x \in X - A$ , we have f(A) < f(x). This implies that *x* is outside of  $f^{-1}(f(A))$ . Thus  $f^{-1}(f(A)) = A$  and  $\overline{co}(A) = A$ .

*Remark* 2.18. If *X* is a Hilbert space, then by Riesz representation theorem for every  $f \in X^*$ , there exists a unique  $z \in X$  such that for all  $x \in X$ ,  $f(x) = \langle x, z \rangle$ , the inner product of *x* and *z*. Then

$$Ker(f) = \{x \in X : < x, z \ge 0\} \doteq z^{\perp}.$$

In this case, we have

$$\bigcap_{f \in X^*} f^{-1}(f(A)) = \bigcap_{f \in X^*} A + Ker(f) = \bigcap_{z \in X} A + z^{\perp}.$$
(2.1)

Thus, in a Hilbert space X, every F-closed subset A of X is F-convex iff

$$\overline{co}(A) = \bigcap_{z \in X} A + z^{\perp}$$

Corollary 2.19. Let A and B be F-closed and F-convex subsets of a Banach space X which have nonempty intersection. Then

$$\overline{co}(A \cup B) = \overline{co}(A) \cup \overline{co}(B).$$

*Proof.* By Proposition 1.4,  $A \cup B$  is F–convex. Then we have

$$\overline{co}(A \cup B) = \bigcap_{f \in X^*} f^{-1}(f(A \cup B))$$
$$= \Big(\bigcap_{f \in X^*} f^{-1}(f(A))\Big) \bigcup \Big(\bigcap_{f \in X^*} f^{-1}(f(A))\Big)$$
$$= \overline{co}(A) \cup \overline{co}(B).$$

Corollary 2.20. Let A and B be F-closed and F-convex subsets of a Banach space X. Then

$$\overline{co}(A+B) = \overline{co}(A) + \overline{co}(B).$$

Proof. Obviously, we have

$$\overline{co}(A+B) \subseteq \overline{co}(A) + \overline{co}(B).$$

Let *x* be an arbitrary element of  $\overline{co}(A) + \overline{co}(B)$ . Then there are  $x_1 \in \overline{co}(A)$  and  $x_2 \in \overline{co}(B)$  such that  $x = x_1 + x_2$ . Then for every  $f \in X^*$ , we have  $f(x_1) \in f(A)$  and  $f(x_2) \in f(B)$ . This implies that  $f(x_1 + x_2) \in f(A + B)$  and hence,  $x \in f^{-1}(f(A + B))$ . It follows that

$$\overline{co}(A) + \overline{co}(B) \subseteq \bigcap_{f \in X^*} f^{-1}(f(A+B)) = \overline{co}(A+B).$$

Note that if A and B are F-convex and F-closed then, A + B is F-closed.

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