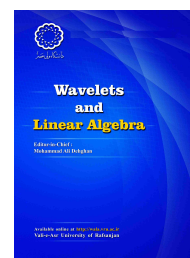


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## On Some Properties of $K$ -g-Riesz Bases in Hilbert Spaces

Azam Shekari<sup>b</sup>, Mohammad Reza Abdollahpour<sup>a,\*</sup>

<sup>a</sup>Department of Mathematics, Faculty of Sciences, University of Mohaghegh Ardabili, Ardabil 56199-11367, Iran.

<sup>b</sup>Department of Mathematics, Faculty of Sciences, University of Mohaghegh Ardabili, Ardabil 56199-11367, Iran.

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### ABSTRACT

In this paper, we study the  $K$ -Riesz bases and the  $K$ -g-Riesz bases in Hilbert spaces. We show that for  $K \in B(\mathcal{H})$ , a  $K$ -Riesz basis is precisely the image of an orthonormal basis under a bounded left-invertible operator such that the range of this operator includes the range of  $K$ . Also, we show that  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if and only if there exists a  $g$ -orthonormal basis  $\{Q_i\}_{i \in I}$  for  $\mathcal{H}$  and a bounded right-invertible operator  $U$  on  $\mathcal{H}$  such that  $\Lambda_i = Q_i U$  for all  $i \in I$ , and  $R(K) \subset R(U^*)$ .

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### 1. Introduction

The concept of frame was introduced by Duffin and Schaeffer [8] in 1952 in the context of nonharmonic Fourier series. Frames have many nice properties which make them very useful in

\*Corresponding author

Email addresses: Azamshekari67@yahoo.com, a.shekari@uma.ac.ir (Azam Shekari),  
mrabdollahpour@yahoo.com, m.abdollah@uma.ac.ir (Mohammad Reza Abdollahpour)

the characterization of function spaces, signal processing and many other fields.

A sequence  $\{f_i\}_{i \in I}$  in a Hilbert space  $\mathcal{H}$  is called a frame for  $\mathcal{H}$  if there exist constants  $A, B > 0$  such that for all  $f \in \mathcal{H}$ ,

$$A\|f\|^2 \leq \sum_{i \in I} |\langle f, f_i \rangle|^2 \leq B\|f\|^2. \tag{1.1}$$

$\{f_i\}_{i \in I}$  is called a Bessel sequence if the right hand side of (1.1) holds for all  $i \in I$ .

We say that a sequence  $\{f_i\}_{i \in I}$  is a Riesz basis for the Hilbert space  $\mathcal{H}$ , if it is complete in  $\mathcal{H}$  (i.e.,  $\overline{\text{span}}\{f_i\}_{i \in I} = \mathcal{H}$ ) and there exist constants  $A, B > 0$  such that for any finite scalar sequence  $\{c_i\}$ ,

$$A \sum |c_i|^2 \leq \left\| \sum c_i f_i \right\|^2 \leq B \sum |c_i|^2.$$

Throughout this paper,  $\mathcal{H}$  is a separable Hilbert space on complex field  $\mathbb{C}$ ,  $I$  is a countable set,  $\{\mathcal{H}_i\}_{i \in I}$  is a sequence of separable Hilbert spaces,  $B(\mathcal{H}_1, \mathcal{H}_2)$  is the set of all bounded linear operators from the Hilbert space  $\mathcal{H}_1$  to the Hilbert space  $\mathcal{H}_2$ ,  $B(\mathcal{H})$  is the set of all bounded linear operators on  $\mathcal{H}$ ,  $K \in B(\mathcal{H})$ , and  $R(K)$  and  $N(K)$  are the range and the kernel of the operator  $K$ , respectively.  $l^2(I)$  represents an infinite-dimensional complex Hilbert space consisting of sequences  $\{c_i\}_{i \in I}$  such that  $\|\{c_i\}_{i \in I}\|^2 = \sum_{i \in I} |c_i|^2 < +\infty$ . For all  $a = \{a_i\}_{i \in I}$  and  $b = \{b_i\}_{i \in I}$  in  $l^2(I)$ , the inner product is specified as follow:

$$\langle a, b \rangle = \sum_{i \in I} a_i \bar{b}_i.$$

Generalized frame, or simply g-frame was introduced by Sun [13] in 2006. Despite of the fact that the members of discrete frames are vectors, the members of g-frames are bounded linear operators. A sequence  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is called a g-frame for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ , if there exist constants  $A, B > 0$  such that for all  $f \in \mathcal{H}$ ,

$$A\|f\|^2 \leq \sum_{i \in I} \|\Lambda_i f\|^2 \leq B\|f\|^2. \tag{1.2}$$

If the right hand inequality of (1.2) holds for all  $f \in \mathcal{H}$  then  $\{\Lambda_i\}_{i \in I}$  is called a g-Bessel sequence for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . We define the space

$$l^2(\{\mathcal{H}_i\}_{i \in I}) = \left\{ \{f_i\}_{i \in I} : f_i \in \mathcal{H}_i, i \in I \text{ and } \sum_{i \in I} \|f_i\|^2 < +\infty \right\}$$

with the inner product defined by

$$\langle \{f_i\}_{i \in I}, \{g_i\}_{i \in I} \rangle = \sum_{i \in I} \langle f_i, g_i \rangle.$$

It is clear that  $l^2(\{\mathcal{H}_i\}_{i \in I})$  is a Hilbert space with the pointwise operations. We define the synthesis operator for a g-Bessel sequence  $\Lambda = \{\Lambda_i\}_{i \in I}$  as:

$$T_\Lambda : l^2(\{\mathcal{H}_i\}_{i \in I}) \rightarrow \mathcal{H}, \quad T_\Lambda(\{f_i\}_{i \in I}) = \sum_{i \in I} \Lambda_i^*(f_i). \tag{1.3}$$

It is easy to show that the adjoint operator of  $T_\Lambda$  is

$$T_\Lambda^* : \mathcal{H} \rightarrow l^2(\{\mathcal{H}_i\}_{i \in I}), \quad T_\Lambda^*(f) = \{\Lambda_i f\}_{i \in I}. \tag{1.4}$$

$T_\Lambda^*$  is called the analysis operator for  $\{\Lambda_i\}_{i \in I}$ .

**Proposition 1.1.** [12]  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is a *g*-Bessel sequence for  $\mathcal{H}$  with bound  $B$ , if and only if the operator  $T_\Lambda$  defined in (1.3) is a well-defined and bounded operator with  $\|T_\Lambda\| \leq \sqrt{B}$ .

**Lemma 1.2.** Let  $\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i)$ . Suppose that for each  $i \in I$ ,  $\{e_{i,j} : j \in J_i\}$  is an orthonormal basis for  $\mathcal{H}_i$ , where  $J_i$  is a subset of  $\mathbb{Z}$ . Then consider

$$u_{i,j} = \Lambda_i^* e_{i,j}; \quad i \in I, \quad j \in J_i. \tag{1.5}$$

We call  $\{u_{i,j} : i \in I, j \in J_i\}$ , the sequence induced by  $\{\Lambda_i\}_{i \in I}$  with respect to  $\{e_{i,j} : i \in I, j \in J_i\}$ . Also, we have the following relations:

$$\Lambda_i f = \sum_{j \in J_i} \langle f, u_{i,j} \rangle e_{i,j}, \quad f \in \mathcal{H},$$

$$\Lambda_i^* g_i = \sum_{j \in J_i} \langle g_i, e_{i,j} \rangle u_{i,j}, \quad g_i \in \mathcal{H}_i.$$

For more study on *g*-frames, we can refer to [3, 4, 1, 2, 14].

Sun also introduced *g*-Riesz bases in [13]. We say that  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is a *g*-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ , if it is *g*-complete, i.e.,  $\{f \in \mathcal{H} : \Lambda_i f = 0, i \in I\} = \{0\}$ , and there exist constants  $A, B > 0$  such that for any finite subset  $I_1 \subset I$  and  $g_i \in \mathcal{H}_i, i \in I_1$ ,

$$A \sum_{i \in I_1} \|g_i\|^2 \leq \left\| \sum_{i \in I_1} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in I_1} \|g_i\|^2.$$

We say that  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is a *g*-orthonormal basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if it satisfies in the following:

$$\langle \Lambda_{i_1}^* g_{i_1}, \Lambda_{i_2}^* g_{i_2} \rangle = \delta_{i_1, i_2} \langle g_{i_1}, g_{i_2} \rangle, \quad i_1, i_2 \in I, \quad g_{i_1} \in \mathcal{H}_{i_1}, \quad g_{i_2} \in \mathcal{H}_{i_2},$$

$$\sum_{i \in I} \|\Lambda_i f\|^2 = \|f\|^2, \quad f \in \mathcal{H}.$$

*K*-frames for Hilbert spaces were introduced by L. Găvruta [9] to study the atomic decomposition systems. Some properties of *K*-frames were discussed in [17]. A sequence  $\{f_i\}_{i \in I}$  in a Hilbert space  $\mathcal{H}$  is called a *K*-frame for  $\mathcal{H}$ , if there exist constants  $A, B > 0$  such that for each  $f \in \mathcal{H}$ ,

$$A \|K^* f\|^2 \leq \sum_{i \in I} |\langle f, f_i \rangle|^2 \leq B \|f\|^2.$$

We see that every *K*-frame is a Bessel sequence.

Y. Huang and D. Hua [11], introduced the concept of *K*-Riesz bases in Hilbert spaces (see also [20]). For  $K \in B(\mathcal{H})$ , a sequence  $\{f_i\}_{i \in I}$  in  $\mathcal{H}$  is called  $\overline{R(K)}$ -complete, if

$$\{f \in \mathcal{H} : \langle f, f_i \rangle = 0, i \in I\} \subset \overline{R(K)}^\perp = N(K^*).$$

A sequence  $\{f_i\}_{i \in I}$  is a  $K$ -Riesz basis for  $\mathcal{H}$ , if it is  $\overline{R(K)}$ -complete and there exist constants  $A, B > 0$  such that for all finite scalar sequence  $\{c_i\}$ ,

$$A \sum |c_i|^2 \leq \left\| \sum c_i f_i \right\|^2 \leq B \sum |c_i|^2.$$

In [19] and [18], Y. Zhou and Y.C. Zhu studied  $K$ -g-frames in Hilbert spaces. We call a sequence  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  a  $K$ -g-frame for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if there exist constants  $A, B > 0$  such that

$$A \|K^* f\|^2 \leq \sum_{i \in I} \|\Lambda_i f\|^2 \leq B \|f\|^2, \quad f \in \mathcal{H}.$$

**Lemma 1.3.** [7] Let  $\mathcal{H}, \mathcal{H}_1$  and  $\mathcal{H}_2$  be Hilbert spaces. Let  $L_1 \in B(\mathcal{H}_1, \mathcal{H})$  and  $L_2 \in B(\mathcal{H}_2, \mathcal{H})$  be two bounded operators. The following statements are equivalent:

- (i)  $R(L_1) \subset R(L_2)$ ;
- (ii)  $L_1 L_1^* \leq \lambda^2 L_2 L_2^*$  for some  $\lambda \geq 0$ , and
- (iii) there exists a bounded operator  $X \in B(\mathcal{H}_1, \mathcal{H}_2)$  such that  $L_1 = L_2 X$ .

*Remark 1.4.* In Lemma 1.3, if  $L_1 \neq 0$  then  $\lambda > 0$ .

**Definition 1.5.** [5] Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two Hilbert spaces. We say that  $T \in B(\mathcal{H}_1, \mathcal{H}_2)$  is left-invertible (or, respectively, right-invertible) if there exists a bounded linear operator  $G \in B(\mathcal{H}_2, \mathcal{H}_1)$  such that

$$GT = I_{\mathcal{H}_1}, \quad (\text{or } TG = I_{\mathcal{H}_2}).$$

We say that such an operator  $G$  is a bounded linear left (or right) inverse of  $T$ .

**Proposition 1.6.** [5] A linear operator  $T \in B(\mathcal{H}_1, \mathcal{H}_2)$  is left-invertible if and only if its adjoint  $T^* \in B(\mathcal{H}_2, \mathcal{H}_1)$  is right invertible. Moreover,  $G$  is a left inverse of  $T$  if and only if  $G^*$  is a right inverse of  $T^*$ .

**Proposition 1.7.** [5] Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two Hilbert spaces. The following assertions are equivalent:

- (i)  $T \in B(\mathcal{H}_1, \mathcal{H}_2)$  is injective and  $R(T)$  is closed in  $\mathcal{H}_2$ .
- (ii)  $T$  is left-invertible.

**Lemma 1.8.** [15] Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two Hilbert spaces and  $T \in B(\mathcal{H}_1, \mathcal{H}_2)$ . Then

$$R(T)^\perp = N(T^*), \quad R(T^*)^\perp = N(T), \quad \overline{R(T)} = N(T^*)^\perp, \quad \overline{R(T^*)} = N(T)^\perp.$$

## 2. Some properties of $K$ -Riesz bases

In [10], the authors proved that a Riesz basis is precisely the image of an orthonormal basis under a bounded invertible operator. In the following theorem, we show that for  $K \in B(\mathcal{H})$ , a  $K$ -Riesz basis is precisely the image of an orthonormal basis under a bounded left-invertible operator such that the range of this operator includes the range of  $K$ .

**Theorem 2.1.** *Let  $\mathcal{H}_0$  be a separable Hilbert space. Then  $\{f_i\}_{i \in I}$  is a K-Riesz basis for  $\mathcal{H}$  if and only if there exists a bounded left-invertible operator  $\Theta \in B(\mathcal{H}_0, \mathcal{H})$  such that  $R(K) \subset R(\Theta)$ , and  $\Theta e_i = f_i$  for all  $i \in I$ , where  $\{e_i\}_{i \in I}$  is an orthonormal basis for  $\mathcal{H}_0$ .*

*Proof.* Suppose that  $\{f_i\}_{i \in I}$  is a K-Riesz basis for  $\mathcal{H}$ . Then

$$\{f \in \mathcal{H} : \langle f, f_i \rangle = 0, i \in I\} \subset N(K^*) \tag{2.1}$$

and there exist  $A, B > 0$  such that for all finite scalar sequence  $\{c_i\}$ ,

$$A \sum |c_i|^2 \leq \left\| \sum c_i f_i \right\|^2 \leq B \sum |c_i|^2. \tag{2.2}$$

Then, for all  $\{c_i\}_{i \in I} \in \ell^2(I)$ ,

$$A \sum_{i \in I} |c_i|^2 \leq \left\| \sum_{i \in I} c_i f_i \right\|^2 \leq B \sum_{i \in I} |c_i|^2. \tag{2.3}$$

Define  $\Theta : \mathcal{H}_0 \rightarrow \mathcal{H}$  by

$$\Theta(x) = \sum_{i \in I} \langle x, e_i \rangle f_i.$$

We have  $\{\langle x, e_i \rangle\}_{i \in I} \in \ell^2(I)$ , for all  $x \in \mathcal{H}_0$ . Then by (2.3),

$$\|\Theta(x)\|^2 = \left\| \sum_{i \in I} \langle x, e_i \rangle f_i \right\|^2 \leq B \sum_{i \in I} |\langle x, e_i \rangle|^2 = B\|x\|^2.$$

Then,  $\Theta$  is well-defined and bounded and  $\Theta e_i = f_i$ , for all  $i \in I$ . Also, (2.3) implies that

$$A\|x\|^2 \leq \|\Theta(x)\|^2 \leq B\|x\|^2, \quad x \in \mathcal{H}_0. \tag{2.4}$$

By (2.4) we conclude that  $\Theta$  is injective and  $R(\Theta)$  is a closed subspace of  $\mathcal{H}$ . Therefore, by Proposition 1.7,  $\Theta$  is left-invertible. We have

$$\Theta^* : \mathcal{H} \rightarrow \mathcal{H}_0, \quad \Theta^*(f) = \sum_{i \in I} \langle f, f_i \rangle e_i. \tag{2.5}$$

Now, if  $f \in \mathcal{H}$  and  $\Theta^*(f) = 0$  then for all  $i \in I$ ,  $\langle f, f_i \rangle = 0$ . Thus by (2.1),  $f \in N(K^*)$ . It means that  $N(\Theta^*) \subset N(K^*)$ , and  $N(K^*)^\perp \subset N(\Theta^*)^\perp$ . By Lemma 1.8,  $R(K) \subset \overline{R(K)} \subset \overline{R(\Theta)} = R(\Theta)$ .

Conversely, let  $\Theta \in B(\mathcal{H}_0, \mathcal{H})$  be a left-invertible operator such that for all  $i \in I$ ,  $\Theta e_i = f_i$  and  $R(K) \subset R(\Theta)$ . Let  $\Theta_l^{-1}$  be the left inverse of  $\Theta$ . Then for all finite scalar sequence  $\{c_i\}$ , we have

$$\left\| \sum c_i f_i \right\|^2 = \left\| \sum c_i \Theta e_i \right\|^2 \leq \|\Theta\|^2 \cdot \sum |c_i|^2,$$

and

$$\sum |c_i|^2 = \left\| \sum c_i e_i \right\|^2 = \left\| \Theta_l^{-1} \Theta \left( \sum c_i e_i \right) \right\|^2 \leq \|\Theta_l^{-1}\|^2 \cdot \left\| \sum c_i f_i \right\|^2.$$

This shows that (2.2) holds for  $\{f_i\}_{i \in I}$  with  $\|\Theta_l^{-1}\|^{-2}$  and  $\|\Theta\|^2$ . Let  $f \in \mathcal{H}$  and for all  $i \in I$ ,  $\langle f, f_i \rangle = 0$ , then

$$0 = \langle f, \Theta e_i \rangle = \langle \Theta^* f, e_i \rangle, \quad i \in I,$$

thus  $\Theta^* f = 0$ . Since  $R(K) \subset R(\Theta)$ , by Lemma 1.8,  $N(\Theta^*) \subset N(K^*)$ . Therefore,

$$\{f \in \mathcal{H} : \langle f, f_i \rangle = 0, i \in I\} \subset N(K^*),$$

and so  $\{f_i\}_{i \in I}$  is  $\overline{R(K)}$ -complete. □

**Corollary 2.2.** For  $0 \neq K \in B(\mathcal{H})$ , every  $K$ -Riesz basis is a  $K$ -frame.

*Proof.* Let  $\{f_i\}_{i \in I}$  be a  $K$ -Riesz basis for  $\mathcal{H}$ , then by Theorem 2.1, there exists a bounded left-invertible operator  $\Theta \in B(\mathcal{H})$  such that for all  $i \in I$ ,  $f_i = \Theta e_i$ , and  $R(K) \subset R(\Theta)$ , where  $\{e_i\}_{i \in I}$  is an orthonormal basis for  $\mathcal{H}$ . Then, for all  $f \in \mathcal{H}$ , we have

$$\sum_{i \in I} |\langle f, f_i \rangle|^2 = \sum_{i \in I} |\langle f, \Theta e_i \rangle|^2 = \sum_{i \in I} |\langle \Theta^* f, e_i \rangle|^2 = \|\Theta^* f\|^2 \leq \|\Theta\|^2 \|f\|^2. \tag{2.6}$$

Since  $R(K) \subset R(\Theta)$ , by Lemma 1.3, there exists  $\lambda > 0$  such that  $KK^* \leq \lambda^2 \Theta \Theta^*$ . Then,

$$\|K^* f\|^2 \leq \lambda^2 \|\Theta^* f\|^2 = \lambda^2 \sum_{i \in I} |\langle f, f_i \rangle|^2, \quad f \in \mathcal{H}.$$

Therefore,  $\{f_i\}_{i \in I}$  is a  $K$ -frame for  $\mathcal{H}$  with bounds  $\frac{1}{\lambda^2}$  and  $\|\Theta\|^2$ , respectively. □

### 3. $K$ -g-Riesz bases

The concept of  $K$ -g-Riesz bases was introduced in [18]. In this section, we prove some new results about  $K$ -g-Riesz bases in Hilbert spaces.

**Definition 3.1.** Let  $\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i)$ , for all  $i \in I$  and  $K \in B(\mathcal{H})$ .

(i) If  $\{f \in \mathcal{H} : \Lambda_i f = 0, i \in I\} \subset \overline{R(K)}^\perp = N(K^*)$  then we say that  $\{\Lambda_i\}_{i \in I}$  is  $\overline{R(K)}$ -g-complete.

(ii) If  $\{\Lambda_i\}_{i \in I}$  is  $\overline{R(K)}$ -g-complete and there are positive constants  $A$  and  $B$  such that for any finite subset  $I_1 \subset I$  and  $g_i \in \mathcal{H}_i, i \in I_1$ ,

$$A \sum_{i \in I_1} \|g_i\|^2 \leq \left\| \sum_{i \in I_1} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in I_1} \|g_i\|^2,$$

then we say that  $\{\Lambda_i\}_{i \in I}$  is a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ .

**Example 3.2.** Let  $\{e_i\}_{i=1}^\infty$  be an orthonormal basis for  $\mathcal{H}$ . For all  $i \in \mathbb{N}$ , define  $\Lambda_i : \mathcal{H} \rightarrow \mathbb{C}$  by  $\Lambda_i f = \langle f, e_i \rangle$  and  $K : \mathcal{H} \rightarrow \mathcal{H}$  by  $Kf = \sum_{i=2}^\infty \langle f, e_i \rangle e_i$ . We see that  $K^* f = \sum_{i=2}^\infty \langle f, e_i \rangle e_i$ , and for  $c \in \mathbb{C}, \Lambda_i^* c = ce_i$ . For all  $f \in \mathcal{H}$ , we have

$$\|K^* f\|^2 = \left\| \sum_{i=2}^\infty \langle f, e_i \rangle e_i \right\|^2 = \sum_{i=2}^\infty |\langle f, e_i \rangle|^2 = \sum_{i=2}^\infty |\Lambda_i f|^2.$$

If  $\Lambda_i f = 0$ , for all  $i \in \mathbb{N}$ , then  $K^* f = 0$ , i.e.,  $\{\Lambda_i\}_{i \in I}$  is  $\overline{R(K)}$ -g-complete. Also,

$$\left\| \sum_{i=1}^n \Lambda_i^* c_i \right\|^2 = \left\| \sum_{i=1}^n c_i e_i \right\|^2 = \sum_{i=1}^n |c_i|^2.$$

Therefore,  $\{\Lambda_i\}_{i \in I}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\mathbb{C}$ .

**Theorem 3.3.** Let  $\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i)$  and  $u_{i,j}$  be defined as in (1.5). Then  $\{\Lambda_i\}_{i \in I}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if and only if  $\{u_{i,j} : i \in I, j \in J_i\}$  is a K-Riesz basis for  $\mathcal{H}$ .

*Proof.* First we assume that  $\{\Lambda_i\}_{i \in I}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . Then

$$\{f \in \mathcal{H} : \Lambda_i f = 0, i \in I\} \subset N(K^*),$$

and there exist constants  $A, B > 0$  such that for any finite subset  $I_1 \subset I$ , we have

$$A \sum_{i \in I_1} \|g_i\|^2 \leq \left\| \sum_{i \in I_1} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in I_1} \|g_i\|^2. \tag{3.1}$$

Since  $\{e_{i,j} : j \in J_i\}$  is an orthonormal basis for  $\mathcal{H}_i$ , every  $g_i \in \mathcal{H}_i$  has an expansion of the form  $g_i = \sum_{j \in J_i} c_{i,j} e_{i,j}$ , where  $\{c_{i,j} : j \in J_i\} \in \ell^2(J_i)$ . It follows that (3.1) is equivalent to

$$A \sum_{i \in I_1} \sum_{j \in J_i} |c_{i,j}|^2 \leq \left\| \sum_{i \in I_1} \sum_{j \in J_i} c_{i,j} u_{i,j} \right\|^2 \leq B \sum_{i \in I_1} \sum_{j \in J_i} |c_{i,j}|^2.$$

On the other hand, we see from  $\Lambda_i f = \sum_{j \in J_i} \langle f, u_{i,j} \rangle e_{i,j}$  that

$$\{f \in \mathcal{H} : \Lambda_i f = 0, i \in I\} = \{f \in \mathcal{H} : \langle f, u_{i,j} \rangle = 0, i \in I, j \in J_i\}.$$

Hence  $\{\Lambda_i\}_{i \in I}$  is  $\overline{R(K)}$ -g-complete if and only if  $\{u_{i,j}\}_{i \in I, j \in J_i}$  is  $\overline{R(K)}$ -complete. Therefore,  $\{\Lambda_i\}_{i \in I}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if and only if  $\{u_{i,j} : i \in I, j \in J_i\}$  is a K-Riesz basis for  $\mathcal{H}$ . □

**Theorem 3.4.** A sequence  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if and only if there exists a g-orthonormal basis  $\{Q_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  for  $\mathcal{H}$  and a bounded right-invertible operator  $U \in B(\mathcal{H})$  such that  $\Lambda_i = Q_i U$  for all  $i \in I$ , and  $R(K) \subset R(U^*)$ .

*Proof.* Let  $\{e_{i,j} : j \in J_i\}$  be an orthonormal basis for  $\mathcal{H}_i$ , for every  $i \in I$ . We assume that  $\{\Lambda_i\}_{i \in I}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . By Theorem 3.3, we can find a K-Riesz basis  $\{u_{i,j} : i \in I, j \in J_i\}$  for  $\mathcal{H}$  such that

$$\Lambda_i f = \sum_{j \in J_i} \langle f, u_{i,j} \rangle e_{i,j}, \quad i \in I, f \in \mathcal{H}.$$

Take an orthonormal basis  $\{v_{i,j} : i \in I, j \in J_i\}$  for  $\mathcal{H}$ . Since  $\{u_{i,j} : i \in I, j \in J_i\}$  is a K-Riesz basis for  $\mathcal{H}$ , by Theorem 2.1, there exists a bounded left-invertible operator  $\Theta \in B(\mathcal{H})$  such that

$$\Theta v_{i,j} = u_{i,j}, \quad i \in I, j \in J_i,$$

and  $R(K) \subset R(\Theta)$ . Put  $U = \Theta^*$ , then by Proposition 1.6,  $U : \mathcal{H} \rightarrow \mathcal{H}$  is a bounded right-invertible operator and  $R(K) \subset R(U^*)$ . Let  $Q_i \in B(\mathcal{H}, \mathcal{H}_i)$  be such that

$$Q_i g = \sum_{j \in J_i} \langle g, v_{i,j} \rangle e_{i,j}, \quad i \in I, g \in \mathcal{H}.$$

By Theorem 3.1 in [13],  $\{Q_i\}_{i \in I}$  is a g-orthonormal basis for  $\mathcal{H}$ . Moreover, for any  $f \in \mathcal{H}$ ,

$$Q_i U f = \sum_{j \in J_i} \langle U f, v_{i,j} \rangle e_{i,j} = \sum_{j \in J_i} \langle f, \Theta v_{i,j} \rangle e_{i,j} = \sum_{j \in J_i} \langle f, u_{i,j} \rangle e_{i,j} = \Lambda_i f.$$

Hence for all  $i \in I$ ,  $\Lambda_i = Q_i U$ .

Conversely, let  $\{Q_i\}_{i \in I}$  be a g-orthonormal basis for  $\mathcal{H}$  and  $U$  be a bounded right-invertible operator on  $\mathcal{H}$  such that  $\Lambda_i = Q_i U$  for all  $i \in I$ , and  $R(K) \subset R(U^*)$ . Then

$$\langle Q_i^* g_{i_1}, Q_{i_2}^* g_{i_2} \rangle = \delta_{i_1, i_2} \langle g_{i_1}, g_{i_2} \rangle, \quad i_1, i_2 \in I, g_{i_1} \in \mathcal{H}_{i_1}, g_{i_2} \in \mathcal{H}_{i_2},$$

and

$$\sum_{i \in I} \|Q_i f\|^2 = \|f\|^2, \quad f \in \mathcal{H}.$$

If  $\Lambda_i f = 0$ , for all  $i \in I$ , then

$$0 = \sum_{i \in I} \|\Lambda_i f\|^2 = \sum_{i \in I} \|Q_i U f\|^2 = \|U f\|^2,$$

i.e.,  $f \in N(U)$ . From  $R(K) \subset R(U^*)$  and by Lemma 1.8,  $f \in N(K^*)$ . Thus,  $\{\Lambda_i\}_{i \in I}$  is  $\overline{R(K)}$ -g-complete. By Theorem 3.1 in [13], we can find an orthonormal basis  $\{v_{i,j} : i \in I, j \in J_i\}$  for  $\mathcal{H}$  such that  $Q_i g = \sum_{j \in J_i} \langle g, v_{i,j} \rangle e_{i,j}$ , for all  $g \in \mathcal{H}$ . Hence,

$$\Lambda_i f = Q_i U f = \sum_{j \in J_i} \langle U f, v_{i,j} \rangle e_{i,j} = \sum_{j \in J_i} \langle f, U^* v_{i,j} \rangle e_{i,j}, \quad f \in \mathcal{H}.$$

By Proposition 1.6,  $U^*$  is a bounded left-invertible operator and  $R(K) \subset R(U^*)$ , then by Theorem 2.1,  $U^* v_{i,j}$  is a K-Riesz basis for  $\mathcal{H}$ . Thus, by Theorem 3.3 we conclude that  $\{\Lambda_i\}_{i \in I}$  is a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . □

**Theorem 3.5.** For  $0 \neq K \in B(\mathcal{H})$ , every K-g-Riesz basis is a K-g-frame.

*Proof.* Let  $\{\Lambda_i\}_{i \in I}$  be a K-g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ , then by Theorem 3.4, there exist a bounded right-invertible operator  $U$  on  $\mathcal{H}$  and a g-orthonormal basis  $\{Q_i\}_{i \in I}$  for  $\mathcal{H}$  such that  $\Lambda_i = Q_i U$ , for all  $i \in I$ , and  $R(K) \subset R(U^*)$ . For all  $f \in \mathcal{H}$ , we have

$$\sum_{i \in I} \|\Lambda_i f\|^2 = \sum_{i \in I} \|Q_i U f\|^2 = \|U f\|^2 \leq \|U\|^2 \|f\|^2.$$

Also, since  $R(K) \subset R(U^*)$ , by Lemma 1.3, there exists  $\lambda > 0$  such that  $KK^* \leq \lambda^2 U^* U$ . Then,

$$\|K^* f\|^2 \leq \lambda^2 \|U f\|^2 = \lambda^2 \sum_{i \in I} \|Q_i U f\|^2 = \lambda^2 \sum_{i \in I} \|\Lambda_i f\|^2, \quad f \in \mathcal{H}.$$

Therefore,  $\{\Lambda_i\}_{i \in I}$  is a K-g-frame for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . □



**Definition 3.6.** [16] Let  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  be a  $g$ -Bessel sequence in  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . For  $\{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I})$ , if  $\sum_{i \in I} \Lambda_i^* g_i = 0$  we can get  $g_i = 0$  for any  $i \in I$ , then  $\{\Lambda_i\}_{i \in I}$  is called  $l^2(\{\mathcal{H}_i\}_{i \in I})$ -linear independent.

**Theorem 3.7.** Let  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  be a  $K$ - $g$ -frame for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ . Then  $\{\Lambda_i\}_{i \in I}$  is a  $K$ - $g$ -Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  if and only if  $R(T_\Lambda)$  is closed and  $\{\Lambda_i\}_{i \in I}$  is  $l^2(\{\mathcal{H}_i\}_{i \in I})$ -linear independent.

*Proof.* First let  $\{\Lambda_i\}_{i \in I}$  be a  $K$ - $g$ -Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ , then it is  $\overline{R(K)}$ - $g$ -complete and there exist  $A, B > 0$  such that for any finite subset  $I_1 \subset I$  and  $g_i \in \mathcal{H}_i, i \in I_1$ ,

$$A \sum_{i \in I_1} \|g_i\|^2 \leq \left\| \sum_{i \in I_1} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in I_1} \|g_i\|^2.$$

Then, we have

$$A \sum_{i \in I} \|g_i\|^2 \leq \left\| \sum_{i \in I} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in I} \|g_i\|^2, \quad \{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I}). \tag{3.2}$$

Let  $\{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I})$  and  $\sum_{i \in I} \Lambda_i^* g_i = 0$ , then by (3.2), for all  $i \in I, g_i = 0$ . Thus,  $\{\Lambda_i\}_{i \in I}$  is  $l^2(\{\mathcal{H}_i\}_{i \in I})$ -linear independent. Also from (3.2) we conclude that  $R(T_\Lambda)$  is closed.

Conversely, let  $R(T_\Lambda)$  is closed and  $\{\Lambda_i\}_{i \in I}$  is  $l^2(\{\mathcal{H}_i\}_{i \in I})$ -linear independent. Since  $\{\Lambda_i\}_{i \in I}$  is a  $K$ - $g$ -frame, then there exist  $A, B > 0$  such that for all  $f \in \mathcal{H}$ ,

$$A \|K^* f\|^2 \leq \sum_{i \in I} \|\Lambda_i f\|^2 \leq B \|f\|^2.$$

Thus if  $\Lambda_i f = 0$  for all  $i \in I$ , then  $A \|K^* f\|^2 = 0$ , so  $f \in N(K^*)$ . Therefore,  $\{\Lambda_i\}_{i \in I}$  is  $\overline{R(K)}$ - $g$ -complete. Since  $\{\Lambda_i\}_{i \in I}$  is a  $g$ -Bessel sequence, by Proposition 1.1,  $T_\Lambda$  is bounded and there exists  $B > 0$  such that  $\|T_\Lambda\| \leq \sqrt{B}$ . It means that

$$\|T_\Lambda(\{g_i\}_{i \in I})\|^2 = \left\| \sum_{i \in I} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in I} \|g_i\|^2, \quad \{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I}).$$

Since  $\{\Lambda_i\}_{i \in I}$  is  $l^2(\{\mathcal{H}_i\}_{i \in I})$ -linear independent,  $N(T_\Lambda) = 0$  and since  $R(T_\Lambda)$  is closed, by Proposition 1.7, there exists a bounded operator  $(T_\Lambda)_l^{-1} : \mathcal{H} \rightarrow l^2(\{\mathcal{H}_i\}_{i \in I})$  such that for any  $\{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I})$ ,  $(T_\Lambda)_l^{-1} T_\Lambda(\{g_i\}_{i \in I}) = \{g_i\}_{i \in I}$ . Then

$$\sum_{i \in I} \|g_i\|^2 = \|\{g_i\}_{i \in I}\|^2 = \|(T_\Lambda)_l^{-1} T_\Lambda(\{g_i\}_{i \in I})\|^2 \leq \|(T_\Lambda)_l^{-1}\|^2 \|T_\Lambda(\{g_i\}_{i \in I})\|^2 = \|(T_\Lambda)_l^{-1}\|^2 \left\| \sum_{i \in I} \Lambda_i^* g_i \right\|^2.$$

Therefore,

$$\|(T_\Lambda)_l^{-1}\|^{-2} \sum_{i \in I} \|g_i\|^2 \leq \left\| \sum_{i \in I} \Lambda_i^* g_i \right\|^2, \quad \{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I}).$$

□

**Example 3.8.** Let  $\{e_i\}_{i=1}^\infty$  be an orthonormal basis for the Hilbert space  $\mathcal{H}$ , and let  $\mathcal{H}_i = \mathbb{C}^2$ , for all  $i \in \mathbb{N}$ . We define bounded operators

$$\Lambda_i : \mathcal{H} \rightarrow \mathbb{C}^2, \quad \Lambda_i f = (\langle f, e_i \rangle, \langle f, e_{i+1} \rangle),$$

$$K : \mathcal{H} \rightarrow \mathcal{H}, \quad Kf = \sum_{i=1}^\infty \langle f, e_i \rangle e_{i+1} + \sum_{i=1}^\infty \langle f, e_{i+1} \rangle e_i.$$

We see that

$$\|\Lambda_i f\|^2 = \left\| (\langle f, e_i \rangle, \langle f, e_{i+1} \rangle) \right\|^2 = |\langle f, e_i \rangle|^2 + |\langle f, e_{i+1} \rangle|^2, \quad i \in \mathbb{N},$$

and

$$K^* f = \sum_{i=1}^\infty \langle f, e_{i+1} \rangle e_i + \sum_{i=1}^\infty \langle f, e_i \rangle e_{i+1}, \quad f \in \mathcal{H}.$$

For all  $f \in \mathcal{H}$ , we have

$$\begin{aligned} \|K^* f\|^2 &= \left\| \sum_{i=1}^\infty \langle f, e_{i+1} \rangle e_i + \sum_{i=1}^\infty \langle f, e_i \rangle e_{i+1} \right\|^2 \leq 2 \sum_{i=1}^\infty |\langle f, e_{i+1} \rangle|^2 + 2 \sum_{i=1}^\infty |\langle f, e_i \rangle|^2 \\ &= 2 \sum_{i=1}^\infty \|\Lambda_i f\|^2. \end{aligned} \tag{3.3}$$

Therefore,

$$\frac{1}{2} \|K^* f\|^2 \leq \sum_{i=1}^\infty \|\Lambda_i f\|^2 = \sum_{i=1}^\infty |\langle f, e_i \rangle|^2 + \sum_{i=1}^\infty |\langle f, e_{i+1} \rangle|^2 \leq 2 \|f\|^2.$$

We conclude that  $\{\Lambda_i\}_{i \in \mathbb{N}}$  is a  $K$ -g-frame for  $\mathcal{H}$  with respect to  $\mathbb{C}^2$ . Also, if  $\Lambda_i f = 0$ , for all  $i \in \mathbb{N}$  then by (3.3),  $\|K^* f\|^2 = 0$ , i.e.,  $\{\Lambda_i\}_{i \in \mathbb{N}}$  is  $\overline{R(K)}$ -g-complete. Moreover, for all  $i \in \mathbb{N}$ ,

$$\Lambda_i^*(c, d) = ce_i + de_{i+1}, \quad (c, d) \in \mathbb{C}^2. \tag{3.4}$$

Let  $\{(c_i, d_i)\}_{i=1}^\infty$  be a sequence with the property that

$$(c_1, d_1) = (0, -1), \quad (c_2, d_2) = (1, 0), \quad (c_i, d_i) = (0, 0), \quad \forall i \geq 3.$$

Then,  $\{(c_i, d_i)\}_{i=1}^\infty \in \ell^2(\{\mathcal{H}_i\}_{i \in \mathbb{N}})$  and by (3.4),

$$\sum_{i=1}^\infty \Lambda_i^*(c_i, d_i) = \Lambda_1^*(c_1, d_1) + \Lambda_2^*(c_2, d_2) = \Lambda_1^*(0, -1) + \Lambda_2^*(1, 0) = -e_2 + e_2 = 0,$$

but  $(c_1, d_1) \neq (0, 0)$ . Therefore,  $\{\Lambda_i\}_{i \in \mathbb{N}}$  is not  $\ell^2(\{\mathcal{H}_i\}_{i \in \mathbb{N}})$ -linearly independent, thus by Theorem 3.7,  $\{\Lambda_i\}_{i \in \mathbb{N}}$  is not a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\mathbb{C}^2$ .

**Theorem 3.9.** Let  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  be a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  and let  $\{w_{i,j}\}_{j \in M_i}$  be a Riesz basis for  $\mathcal{H}_i$ , for all  $i \in I$  with bounds  $C_i$  and  $D_i$  such that  $0 < \inf_i C_i$  and  $\sup_i D_i < \infty$ , where  $M_i$  is a subset of  $\mathbb{Z}$ . Then  $\{\Lambda_i^* w_{i,j}\}_{i \in I, j \in M_i}$  is a  $K$ -Riesz basis for  $\mathcal{H}$ .

*Proof.* Since  $\{\Lambda_i \in B(\mathcal{H}, \mathcal{H}_i) : i \in I\}$  is a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$ , then

$$\{f \in \mathcal{H} : \Lambda_i f = 0, i \in I\} \subset N(K^*),$$

and there exist  $A, B > 0$  such that for all finite subset  $F \subseteq I, g_i \in \mathcal{H}_i, i \in F$ ,

$$A \sum_{i \in F} \|g_i\|^2 \leq \left\| \sum_{i \in F} \Lambda_i^* g_i \right\|^2 \leq B \sum_{i \in F} \|g_i\|^2. \tag{3.5}$$

Moreover, since  $\{w_{i,j}\}_{j \in M_i}$  is a Riesz basis for  $\mathcal{H}_i$ , for all  $i \in I$ ,

$$\{g_i \in \mathcal{H}_i : \langle g_i, w_{i,j} \rangle = 0, j \in M_i\} = \{0\},$$

and for each  $\{c_{i,j}\}_{j \in M_i} \in l^2(M_i)$ ,

$$C_i \sum_{j \in M_i} |c_{i,j}|^2 \leq \left\| \sum_{j \in M_i} c_{i,j} w_{i,j} \right\|^2 \leq D_i \sum_{j \in M_i} |c_{i,j}|^2, \quad i \in I. \tag{3.6}$$

We have

$$\begin{aligned} \{f \in \mathcal{H} : \langle f, \Lambda_i^* w_{i,j} \rangle = 0, i \in I, j \in M_i\} &= \{f \in \mathcal{H} : \langle \Lambda_i f, w_{i,j} \rangle = 0, i \in I, j \in M_i\} \\ &= \{f \in \mathcal{H} : \Lambda_i f = 0, i \in I\} \\ &\subset N(K^*). \end{aligned}$$

Thus,  $\{\Lambda_i^* w_{i,j}\}_{i \in I, j \in M_i}$  is  $\overline{R(K)}$ -complete.

If  $\inf_i C_i = C$  and  $\sup_i D_i = D$  then by (3.5) and (3.6), for all finite subset  $F \subseteq I$  and scalar sequence  $\{\beta_{i,j}\}_{i \in F, j \in M_i}$ , we have

$$\begin{aligned} AC \sum_{i \in F} \sum_{j \in M_i} |\beta_{i,j}|^2 &\leq A \sum_{i \in F} \left\| \sum_{j \in M_i} \beta_{i,j} w_{i,j} \right\|^2 \leq \left\| \sum_{i \in F} \Lambda_i^* \left( \sum_{j \in M_i} \beta_{i,j} w_{i,j} \right) \right\|^2 \\ &\leq B \sum_{i \in F} \left\| \sum_{j \in M_i} \beta_{i,j} w_{i,j} \right\|^2 \leq BD \sum_{i \in F} \sum_{j \in M_i} |\beta_{i,j}|^2. \end{aligned}$$

So

$$AC \sum_{i \in F} \sum_{j \in M_i} |\beta_{i,j}|^2 \leq \left\| \sum_{i \in F} \sum_{j \in M_i} \beta_{i,j} \Lambda_i^* w_{i,j} \right\|^2 \leq BD \sum_{i \in F} \sum_{j \in M_i} |\beta_{i,j}|^2.$$

□

**Theorem 3.10.** Let  $\{\Lambda_i\}_{i \in I}$  be a  $K$ -g-frame for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I}$  and let  $T_\Lambda$  be defined as (1.3). Let there exists a finite subset  $\sigma$  of  $I$  for which  $\{\Lambda_i\}_{i \in I \setminus \sigma}$  is a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I \setminus \sigma}$ . If  $\sum_{i \in I} \Lambda_i^* g_i$  is converges, then  $\{g_i\}_{i \in I} \in l^2(\{\mathcal{H}_i\}_{i \in I})$ .

*Proof.* Suppose that  $\sum_{i \in I} \Lambda_i^* g_i$  converges, where  $g_i \in \mathcal{H}_i$  for all  $i \in I$ . So  $\sum_{i \in I \setminus \sigma} \Lambda_i^* g_i$  converges. Since  $\{\Lambda_i\}_{i \in I \setminus \sigma}$  is a  $K$ -g-Riesz basis for  $\mathcal{H}$  with respect to  $\{\mathcal{H}_i\}_{i \in I \setminus \sigma}$ , by Theorem 3.4, there exist a

bounded right-invertible operator  $U : \mathcal{H} \rightarrow \mathcal{H}$  and a g-orthonormal basis  $\{Q_i\}_{i \in I \setminus \sigma}$  for  $\mathcal{H}$  such that  $\Lambda_i = Q_i U$  for all  $i \in I \setminus \sigma$ , and  $R(K) \subset R(U^*)$ . So

$$\sum_{i \in I \setminus \sigma} \Lambda_i^* g_i = \sum_{i \in I \setminus \sigma} (Q_i U)^* g_i = U^* \left( \sum_{i \in I \setminus \sigma} Q_i^* g_i \right).$$

Since  $\{Q_i\}_{i \in I \setminus \sigma}$  is a g-orthonormal basis, we have

$$\sum_{i \in I \setminus \sigma} \|g_i\|^2 = \left\| \sum_{i \in I \setminus \sigma} Q_i^* g_i \right\|^2 < \infty.$$

Then  $\{g_i\}_{i \in I \setminus \sigma} \in \ell^2(\{\mathcal{H}_i\}_{i \in I \setminus \sigma})$  and this implies that  $\{g_i\}_{i \in I} \in \ell^2(\{\mathcal{H}_i\}_{i \in I})$ .  $\square$

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